Artificial Intelligence in NSW Health

Jun 2024 Mona Thind Transitional Research Grant Scheme Round 9

Al Rx: Prescribing AIAF for Smarter Healthcare Risk Management



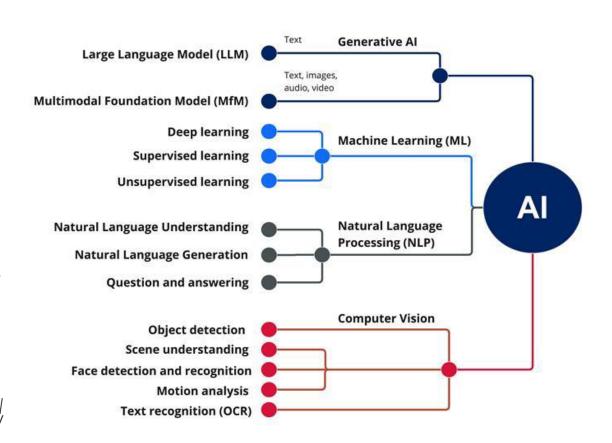
What is Artificial Intelligence



Artificial Intelligence (AI) is the ability of a computer system to perform tasks that would normally require human intelligence, such as learning, reasoning, and making decisions.

Al encompasses various specialised domains that focus on different tasks. Examples include Machine Learning, which enables computers to learn from data; Computer Vision, allowing them to interpret visual information; and Natural Language Processing, for understanding and generating human language.

Ref: A common understanding: simplified AI definitions from leading standards | Digital.NSW



Artificial Intelligence

NSW GOVERNMENT

And managing the prevalence of AI in NSW Health...

Artificial intelligence (AI) development and use within NSW has been increasing and is now in use across multiple agencies.

NSW Government is committed to ensuring the safe, ethical, and responsible deployment of AI across NSW.

As NSW Health continues to harness the potential of AI, NSW Health also remains committed to managing its risks.

NSW Health has adopted the mandated NSW AI Assessment framework (AIAF)

In applying the AIAF, opportunities for improvement have been identified around clinical use of AI, especially in the areas of clinical safety, governance, data, ethics, and legal.

NSW Health has established a time-limited AI Taskforce to develop an operation and strategic framework to guide the use of AI across the health system.

NSW Health Alignment to Al Framework





Australia's Artificial Intelligence Ethics Framework

National framework for the assurance of artificial intelligence in government (UNDER REVIEW)

Voluntary Al Safety Standard (UNDER REVIEW)



NSW Artificial Intelligence Strategy

NSW Artificial Intelligence Ethics
Policy

NSW Artificial Intelligence Assurance Framework



- 1. NSW Health Al Taskforce
- 2. Inputs to support an Al risk assessment
 - a) NSW Government AI Self Assessment Checklist
 - b) NSW Health Extended AI questions
- 3. Support for conducting an Al risk assessment
 - a) eHealth NSW AI Request Submission Form
 - b) eHealth AI review and advisory service
- 4. Guidance on Al use across NSW Health (under development)

eHealth NSW Al Advisory Service Overview

From experimentation to execution-delivering outcomes through targeted, scalable AI solutions

About the eHealth Interim Al Advisory Service

Provides advice and guidance to NSW Health on the NSW Health specific AI considerations in addition to the mandated NSW AI Assessment Framework.

Comprising of representatives from relevant eHealth directorates, eHealth Interim AI advisory service provides the following:

- Responds to customer request for advice on AI projects, including on matters of AI model design, use of health datasets, ethics and legal implications etc.
- Reviews and triages incoming AI request submissions with advice from relevant NSW Health SMEs.
- Guides and advices project teams on AI self-assessment and submission of documentation to NSW Government.
- Enabling Proof of Concept across NSW Health for common AI requests.
- Operationalisation of the NSW government Al Assessment Framework to meet compliance.

NSW Health AI Centre of Excellence



From experimentation to execution-delivering outcomes through targeted, scalable AI solutions*

As we look ahead to the coming year, NSW Health will focus on establishing an Al Centre of Excellence (CoE) dedicated to advancing the implementation of high-priority, high-impact Al solutions across our system.

The CoE will balance innovation, governance, and equitable healthcare delivery while ensuring scalability and ethical Al adoption.

The CoE will specifically:

- Prioritise & Scale: Focus on high-value AI use cases with system-wide benefits.
- Build Capability: Develop internal skills, tools, and frameworks for sustainable AI adoption.
- Ensure Quality: Promote best practices, governance, and ethical AI standards.
- Foster Collaboration: Connect teams, share learnings, and reduce duplication.

eHealth NSW Al Advisory Service Process

While we establish future governance state...

Idea/Request



Risk Assessment



Al Advisory Review



Feedback



What

Why

Kev information collected

Other **Considerations**

Complete request form + Preliminary assessment

To determine if the idea requires or product includes Al. and if AIAF is needed

sponsor, funding, vendor solution, high level benefits & risks, SRO, governance groups involved

Review by New Technology and Specialised Services Committee may be required Complete the NSW AIAF + commence security assessment

Determine the risk level and pathway to proceed

Risk levels across the ethic principles, governance engaged, and PSAF progress status

Additional governance groups may need to be engaged

Review submission and generate report for Al Taskforce

Validate the compliance in completing the AIAF and prepare report for AI Taskforce

Completed AIAF, PSAF and supporting vendor document

Al Review Committee engagement may be required Inform project team of outcome

To provide project team to ability to commence or stop project

Project team feedback

Project team to inform other governance groups of outcome



Thank you

Questions?

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Appendix



A1

Overview of AI Taskforce

NSW Health AI Taskforce



As NSW Health continues to harness the potential of Artificial Intelligence (AI), NSW Health also remains committed to managing its risks.

NSW Health has adopted the mandated NSW AI Assessment framework (AIAF) which assists stakeholders to analyse AI system risks, implement mitigation controls, and establish accountabilities.

In applying the AIAF, opportunities for improvement have been identified around clinical use of AI, especially in the areas of clinical safety, governance, data, ethics, and legal.

NSW Health has established a time-limited AI Taskforce to develop an operation and strategic framework to guide the use of AI across the health system



NSW Health AI Taskforce (con't)



NSW Health AI Taskforce

- In early 2024, NSW established a time-limited
 Taskforce of senior leaders / experts to guide Al use in healthcare.
- The Taskforce meets every two months and reports to the Ministry of Health Executive.
- It aims to adapt a whole-of-government approach to the healthcare context.
- Responsible for developing a strategic and operational framework to guide the use of AI in the public health system.

Working Groups

- Four Working Groups were established, each allocated with developing specific components of the Framework:
 - 1. Ethics, legal and workforce
 - Data assurance, policy, governance, and procurement
 - 3. Clinical safety and governance
 - 4. Communication, change management, consumer awareness and expectations, training and education



A2

Overview of NSW Health Al Framework

Development of the NSW Health AI Framework





AAAiH Roadmap

Provides guidance for AI priority areas in healthcare



Taskforce Working Groups and Workshops

Consultation provided recommendations from across NSW Health for consideration by the Taskforce



Latest Evidence

CIU continues to gather latest evidence on AI supporting healthcare



Principles Policy and Practice

Applying guidance, recommendations and latest evidence to the NSW Health context



Taskforce Review and Targeted Consultation

Feedback and guidance from the Taskforce and key governance groups

Relevant Documents

Informs -



For NSW Health to consider

National

Australia's Artificial Intelligence **Ethics Principles**

National **Framework** for the Assurance of Artificial Intelligence in government

Policy for the responsible use of AI in government v1.1 (NCE Only)

Voluntary Al Safety Standards + 10 Guardrails

National Health

Safe and Responsible Artificial Intelligence in Healthcare – *DOHAC* Industry (Health)

A National Policy Roadmap for Artificial Intelligence in Healthcare - AAAiH

Artificial Intelligence (AI) and medical device software - TGA

Artificial Intelligence in Healthcare - AMA

Al Implementation in Hospitals: Legislation, Policy, Guidelines and Principles, & Evidence about Quality & Safety The Commission, Macquarie University and University of Wollongong

Meeting your professional obligations when using Artificial Intelligence in healthcare - AHPRA

NSW Artifical Intelligence Strategy

NSW

NSW Artificial Intelligence Policy

NSW Artificial Intelligence Assessment Framework + Preassessment Questionnaire

NSW Health

Builds on

NSW Artificial Strategic and Operational Framework

Underpins

NSW Health AI Usage Guidelines

Al Advisory Requirements

Existing Documents

Privacy and Personal Information Protection Act 1997 (NSW) (PPIPA)

NSW Anti-Discrimination Act 1977 Government Information (Public Access) Act 2009

State Records Act 1998

Public Interest Directions made under PIPPA (exemptions)

Health Records and Information Privacy Act 2002 (NSW) (HRIPA)

Health Public Interest Directions made under HRIPA (exemptions)

Public Health Act 2010

Public Interest Directions made under PIPPA (exemptions)

Health Records and Information Privacy Act 2002 (NSW) (HRIPA)

Health Public Interest Directions made under HRIPA (exemptions)

Public Health Act 2010

Consent to Medical and Healthcare Treatment Manual

Health Ethics Advisory Panel (HEAP) - Clinical ethics

Electronic Information Security 2020

Inexhaustive list

	Safety, ethics and quality	Privacy and security	Governance and regulation	Research and development	Workforce	Consumers	Industry
Principles	Human-centred values Fairness Inclusiveness & equity Transparency & explainability Balance benefits & harms	Safeguard patient privacy Secure data	Accountability Intersectoral / interjurisdictional / international cooperation and coordination	Harness expert guidance in Al development and implementation Foster an Al research ecosystem Transparency and explainability in new models	Democratise Al Understand knowledge and skills gaps Build trust Build human capacity	Help all citizens benefit from Al / Equity Build trust Patient rights and choice Contestability	Support local industry to develop clinical and economic benefits
Policy	Invest in human capacity Foster Al risk / benefit mgt Policy mapping and testing Legal protections Consumer / social licence	Cybersecurity Codify developer minimum standards Guide data governance and use Procurement standards Intellectual property	Embed distributed leadership Foster enabling policy environment / digital ecosystem for Al Regulation / Standards Financial models Leverage NSW Health data assets	Support creation and translation of new technologies Engage R&D ecosystem Advocate for funding programs Access to secure data	Al literacy framework Career enhancements / pathways / skillmix Accredit training programs Codify clinical accountability	Foster Al literacy Agree social licence Foster equity measurement Require user testing	Engage with whole of government innovation strategies Provide support and incentives to invest Industry standards Procurement policy Timely assessment
Practice	NSW assurance framework + health lens Risk assessment Clinical governance Incident management Validation Disclosures	Assessment for model bias Training and education SOPs Privacy preserving models	Thinktanks Communities of Practice Post marketing surveillance Steering Committees Al Clinical guidance	Al fellowship program Establish Al lab Al pipeline Support clinician researchers Implementation support	Communication and culture change Training / Education Job enrichment Time for care Change management Clinical and non-clinical decision support	Foster self-care Socialise use of safe chatbots Communication Demonstration	Provide access to data in secure environment Facilitate safe access to the health ecosystem Procurement processes Partnerships

Training / Education



A3

Overview of Al Initiatives

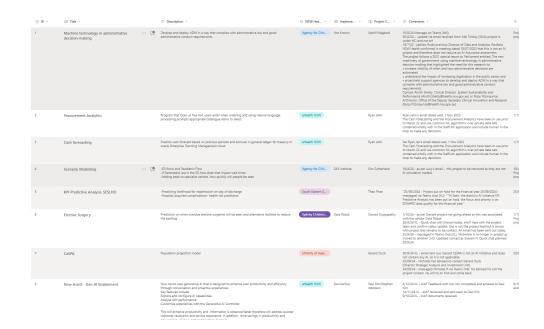


Al Register

The AI Register, managed by the eHealth NSW interim AI advisory service, serves as a centralised register to track all AI initiatives across NSW Health.

The register:

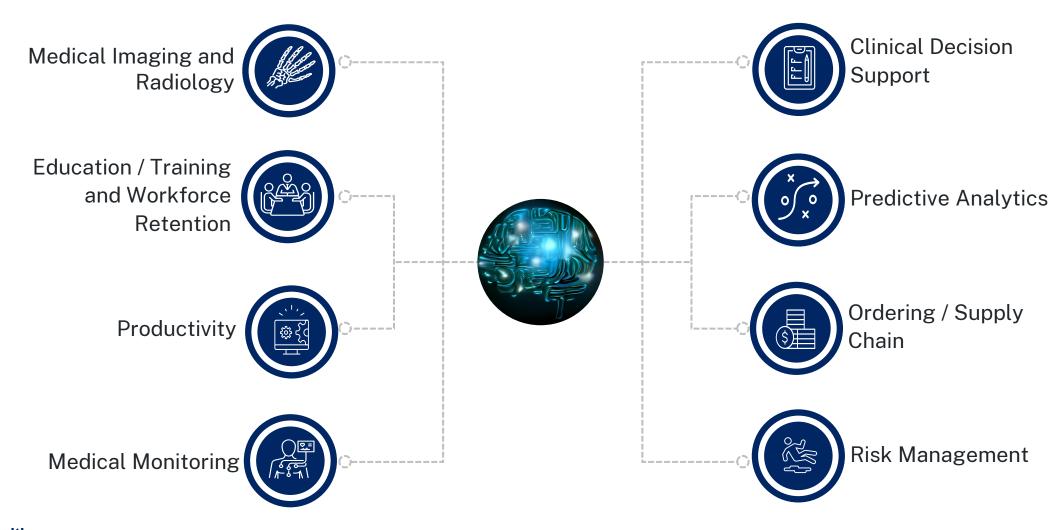
- Provides transparency on AI projects underway at NSW Health to avoid duplication and enable collaboration
- Provides visibility into the status, progress, and details of each AI project, which is crucial for stakeholders and team members
- Enables monitoring the compliance of Al initiatives against the mandated NSW Al Assessment Framework (AIAF).
- Reporting to help inform strategic decision-making.
- Serves as a valuable repository of historical data, which can be used for future planning and decision-making



NSW Health Use Case Themes

NSW GOVERNMENT

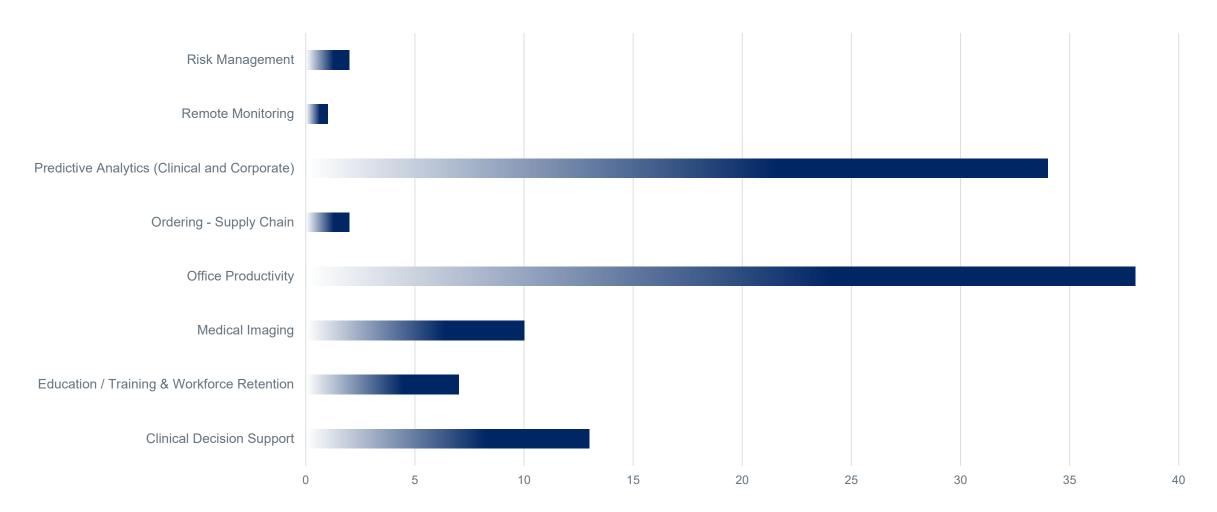
Driving improved health outcomes...



What is the spread of requests



Who is really asking for what...



NSW Health AI Initiative

That have navigated the AIAF...





SEPSIS

Clinical decision support tool (CDST) that combines relevant information from EMR. Using an algorithm trained on historic data concerning patients with sepsis to create a risk score for clinicians to be able to identify people at the greatest risk of sepsis.



Breast Screening

Digital product which uses the latest developments using deep learning derived algorithms in image recognition technology to detect early cancers in screening mammograms.



Telestroke

A cloud-based service for automating the ingestion, transformation, analysis, and consumption of Telestroke data sources too automate and modernise the operational reporting for assessing the NSW Telestroke Service's.



Tissue Analytics

App that specialises in virtual assessment and monitoring of wounds.

Uses AI to measure wound size, progression, and records this as a photograph and pdf that is uploaded into the electronic medical record (EMR).



CoPilot

To enhance productivity
by simplifying
document creation,
information gathering,
and review processes
by acting as a "personal
assistant" to streamline
support functions and
content delivery.



Early Lessons Learnt

What we have learnt so far

And continue to learn...



Interpretation of questions on the NSW Gov AI Assurance Framework is subjective. For example, understanding deidentified health data; nonsensitive data; public datasets

Research and Innovation projects are reluctant to complete documentation before outcome of the trial is known.

De-identification of any data is complex. How will data be de-identified? Using the IPC NSW Guidelines? Further, will metadata also need to be de-identified?



Are all sections relevant for all projects? For example, if project uses public non-sensitive data, and does not integrate with systems, is the complete documentation still required?

There is confusion about
Governance and reporting
requirements for different
types of projects (Inapplication release by
vendor, PoCs, Pilots,
Research, Scaled roll-outs,
Private Uni led projects
etc.)

Do I require a HRIA (Human Rights Impact Assessment)? Is there advice around who determines this? When to apply? What specific Acts are applicable?